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Detection of Early-Stage Pancreatic Ductal Adenocarcinoma From Blood Samples: Results of a Multiplex Biomarker Signature Validation Study

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INTRODUCTION: **The IMMray PanCan-d test combines an 8-plex biomarker signature with CA19-9 in a proprietary algorithm to detect pancreatic ductal adenocarcinoma (PDAC) in serum samples. This study aimed to validate the clinical performance of the IMMray PanCan-d test and to better understand test performance in Lewis-null (le/le) individuals who cannot express CA19-9.**

METHODS: **Serum samples from 586 individuals were analyzed with the IMMray PanCan-d biomarker signature and CA19-9 assay, including 167 PDAC samples, 203 individuals at high risk of familial/hereditary PDAC, and 216 healthy controls. Samples were collected at 11 sites in the United States and Europe. The study was performed by Immunovia, Inc (Marlborough, MA), and sample identity was blinded throughout the study. Test results were automatically generated using validated custom software with a locked algorithm and predefined decision value cutoffs for sample classification.**

**Detecting Early-Stage PDAC
Using the IMMray® PanCan-d Blood Test**

Study Cohorts	
56	PDAC Stages I & II
101	PDAC Stages III & IV
187	Familial / hereditary high-risk controls
187	Healthy controls
531	**Total**

Serum samples analyzed using 8-plex biomarker signature + CA19-9 (IMMray PanCan-d test)

Results	
Sensitivity (PDAC Stages I & II):	89%
Sensitivity (PDAC All Stages):	92%
Specificity:	99%

IMMray PanCan-d can detect PDAC with high specificity and sensitivity.

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RESULTS: The IMMray PanCan-d test distinguished PDAC stages I and II (n = 56) vs high-risk individuals with 98% specificity and 85% sensitivity and distinguished PDAC stages I–IV vs high-risk individuals with 98% specificity and 87% sensitivity. We identified samples with a CA19-9 value of 2.5 U/mL or less as probable Lewis-null (le/le) individuals. Excluding these 55 samples from the analysis increased the IMMray PanCan-d test sensitivity to 92% for PDAC stages I–IV (n = 157) vs controls (n = 379) while maintaining specificity at 99%; test sensitivity for PDAC stages I and II increased from 85% to 89%.

DISCUSSION: These results demonstrate the IMMray PanCan-d blood test can detect PDAC with high specificity (99%) and sensitivity (92%).

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) is one of the most lethal human cancers, with an increasing incidence and a 5-year survival of <10% (1,2). It is expected that PDAC will become the second leading cause of cancer deaths in the United States by 2030, surpassing colon cancer (3). The key to improve survival is early detection during a potentially curable stage. Early-stage PDAC is usually asymptomatic or with only nonspecific symptoms. Thus, most patients present late in their clinical course with advanced nonresectable disease. Typically, only 20% of sporadic PDACs are diagnosed during a potentially resectable stage (1,2). One recent surveillance study of high-risk, asymptomatic individuals with germline *CDKN2A* mutations reported a 75% detection rate of resectable tumors, resulting in a 5-year survival rate of 24%, which is substantially longer than in sporadic cases (6,7). Taken together, these findings suggest that earlier diagnosis could significantly increase survival for patients with PDAC (4,5,8,9) and that active surveillance of high-risk individuals can improve their survival (5,10). Unfortunately, only a minority of at-risk individuals (21% in a recent study) are enrolled in surveillance programs (11).

There is no gold standard for the diagnosis of PDAC, particularly during early stages. Imaging modalities such as magnetic resonance imaging and endoscopic ultrasound are currently the mainstay, but neither is 100% sensitive or specific. Fine-needle aspiration and needle biopsy can also be unreliable for diagnosing small lesions for which precise geographic targeting can be problematic. The most extensively evaluated blood-based PDAC biomarker is CA19-9, which has limited specificity, because elevated CA19-9 levels are associated with other clinical conditions. Furthermore, low or negative CA19-9 expression in individuals who are genotypically Lewis antigen null (i.e., le/le with mutations in both copies of the *FUT3* gene (12–14)) further limits the reliability of this biomarker for PDAC detection. The frequency of the Lewis-null phenotype varies in different ethnic groups from 6% to more than 20% (15), compromising the sensitivity of CA19-9 as a PDAC biomarker. Although CA19-9 is not currently recommended for PDAC screening (16–18), its value as an anchor marker to aid in PDAC detection has recently been proposed (14,19).

Reliable and effective biomarkers are an important unmet clinical need for individuals at an increased risk of PDAC; thus, the objective of this study was the clinical validation of a multi-biomarker signature for PDAC that encompasses both immunoregulatory and cancer-associated biomarkers (20–30). The IMMray PanCan-d assay was developed using concepts reported (30) with subsequent refinements, including the addition of conventional tumor biomarkers to increase the robustness of the assay and decrease the number of biomarkers used in the final 9-plex biomarker signature. After development in the Commercial Test Model Study (CTMS) (31), the IMMray PanCan-d algorithm and cutoff thresholds were locked. The results reported in this study describe the application of this IMMray PanCan-d test to large cohorts of individuals with PDAC, healthy individuals, and individuals at an increased genetic/familial risk of PDAC. Of importance, this latter cohort corresponds to the target population for testing with IMMray PanCan-d, that is, individuals at high risk of developing PDAC. This validation study included only samples that had not previously been tested with IMMray PanCan-d.

METHODS

Sample cohorts

Three sample cohorts were analyzed in this study: healthy individuals, individuals at high familial genetic risk of PDAC (PanFAM), and patients with PDAC (Table 1). The healthy cohort included individuals from multiple sites in the United States and Europe, was ethnically diverse, and had no history of or concurrent cancer. The familial/genetic high-risk cohort was collected from 3 US sites (University of Pittsburgh Medical Center, Massachusetts General Hospital, and University of Pennsylvania) participating in the PanFAM prospective clinical trial (ClinicalTrials.gov Identifier: NCT03693378) and comprised individuals with a strong family history of pancreatic cancer and/or individuals with known genetic mutations predisposing to PDAC who meet current criteria of PDAC surveillance (Table 2). None of the individuals tested were known to have developed PDAC at the time of sample collection.

PDAC samples were collected from multiple sites in Europe and the United States and included 56 early-stage (stages I and II) patients with PDAC. All serum samples were collected using a standard sample collection protocol. In brief, blood samples were collected in red top tubes and allowed to clot for 30–60 minutes before centrifugation for 10 minutes at 3,000*g*. Serum was then removed and aliquoted in cryovials and immediately frozen at −80 °C. Samples were shipped on dry ice and then thawed for analysis. All samples were analyzed within 2 years of collection, and all were stored frozen at −80 °C until thawed for analysis. PDAC staging was performed according to the *American Joint Committee on Cancer Guidelines* (31). Blood samples from patients with histologically confirmed PDAC were collected and processed before surgical or adjuvant treatment. Samples were blinded to laboratory personnel and randomized using an Excel template designed to avoid an overabundance of any cohort in any assay batch (maximum batch size was 62 samples).

Table 1. Collection sites for study samples

	Cohort		
Sample origin	Healthy	PanFAM	PDAC
Beth Israel Deaconess Medical Center			10
Helsinki University Hospital, Finland			29
Massachusetts General Hospital		76	
Mt. Sinai Medical Center			19
Sahlgrenska University Hospital, Gothenburg, Sweden			79
Ramón y Cajal Research Institute – IRYCIS, CIBERONC, Alcala University, Madrid, Spain	13		19
Sweden Biobank (Växjö)	100		
Hospital of the University of Pennsylvania		51	
University of Pittsburgh Medical Center		76	11
US Biobanks (Discovery Life Sciences, Huntsville, Alabama, and BioIVT, Westbury, New York)	103		

PDAC, pancreatic ductal adenocarcinoma.

IMMray PanCan-d biomarker assay

IMMray PanCan-d is a multiplex immunoassay that combines measurements of 9 serum biomarkers including CA19-9 using a mathematical algorithm. This signature was created and locked during CTMS using a support vector algorithm (31). The resulting signature algorithm can be expressed as a linear equation composed of the levels of 9 serum biomarkers included in the signature (log2-transformed fluorescence intensity) multiplied by real number coefficients:

A1*(log2 intensity 1) + A2*(log2 intensity 2) + … + A9*(log2 intensity 9) + C = Decision Value

A1–A9 are real number coefficients determined from the support vector algorithm, and C is the Y intercept for this linear equation. The identities of the IMMray PanCan-d single chain variable fragment antibodies are listed in Table 3.

Barcoded serum samples were analyzed with an antibody microarray platform composed of 8 single-chain variable fragment directed against 8 antigens after biotinylation (NHS-PEG4-Biotin No-Weigh Format; Thermo Fisher Scientific, Waltham, MA). The biotinylation reagent was quenched with Tris HCL, pH 7.5, and samples were diluted in phosphate-buffered saline containing tween and dissolved milk powder as a blocking agent before being pipetted onto microarrays. Each sample was analyzed in duplicate on blocked arrays on resin-coated slides (Thermo Scientific Nunc; Immunovia AB). After incubation, arrays were individually washed and then incubated with Streptavidin, Alexa Fluor 647 conjugate (Molecular Probes). After washing, array slides were dried and immediately scanned using an InnoScan 710 AL (Innopsys) microarray scanner. CA19-9 was measured separately using a Roche Cobas E411 Analyzer (Roche Diagnostics, Mannheim, Germany) according to the manufacturer's instructions.

Data analysis

High resolution images of each microarray slide were uploaded to cloud-based custom software (IMMray Evaluation Software, IES;

Table 2. Inclusion criteria for the PanFAM study (individuals at high risk of developing familial or hereditary PDAC)

	Age
Two or more relatives with PDAC on the same side of the family, where 2 PDAC-affected individuals are FDR + at least 1 PDAC-affected individual is an FDR of the participant	Aged 50 yr or older OR 10 yr before onset in family
Two affected FDRs with PDAC	≥50 yr old OR 10 yr before onset in family
Any of *BRCA1, BRCA2, PALB2,* or *ATM* mutations confirmed pathogenic or likely pathogenic + 1 FDR or SDR with PDAC	≥50 yr old OR 10 yr before onset of an FDR and SDR
FAMMM with confirmed pathogenic or likely pathogenic mutation variants in: *p16, CDKN2A*	≥50 yr old
Known mutation carrier for *STK11* (Peutz Jeghers syndrome)	≥35 yr old
Lynch syndrome (HNPCC) with confirmed pathogenic or likely pathogenic variants in the following: *MLH1, MSH2, MSH6, PMS2,* or *EPCAM* + 1 FDR or SDR with PDAC	≥50 yr old OR 10 yr before onset of an FDR or SDR
Hereditary pancreatitis with confirmed *PRSS1* pathogenic or likely pathogenic history of pancreatitis	≥40 yr old

FAMMM, familial atypical multiple mole-melanoma; FDR, first degree related; HNPCC, Hereditary Nonpolyposis Colorectal Cancer; PDAC, pancreatic ductal adenocarcinoma; SDR, secondary degree related.

Immunovia AB, Lund, Sweden), which matched the slide's barcode with its sample map and with the CA19-9 results uploaded from the Cobas E411 Analyzer (Roche). Spot alignment was performed by the software, followed by manual inspection and adjustment if required. The intensity of individual pixels in each spot and the associated background was measured, and outlier pixels (top and bottom 5%) were eliminated. The median intensity of each spot was then trimmed by subtracting the

Table 3. Single-chain variable fragment antibodies included in the IMMray PanCan-d test

Single-chain variable fragment antibody	Function of antibody target
A1026	Tumor associated
A1048	Hormone transport
A1065	Bone metabolism
PC105	Complement
PC150	Protease inhibitor
PC157	Complement
PC165	Complement
PC242	Coagulation

Table 4. Study cohort characteristics

Cohort	Median age (yr)	Male (%)	History of cancer	IPMNs	Other imaging abnormalities
Healthy	49	51	0%	—	—
PanFAM	59	36	28%	25%	27%
PDAC	70	58	—	—	—

IMPN, intraductal papillary mucinous neoplasms; PDAC, pancreatic ductal adenocarcinoma.

background fluorescence (median net trimmed signal). These trimmed signals were then log 2 transformed and normalized using the results of 6 calibrator samples included in each assay batch. Normalized intensities were then scaled as Z scores (mean = 0, SD = 1) based on the distribution of the sample results used to construct the model (CTMS). Scaled intensities were then multiplied by the appropriate coefficient (see abovementioned linear equation) to calculate decision values that are predictive of the individual's PDAC status relative to the predefined threshold. Positive and negative control results, statistical analysis of measured signal intensities, array background, number of excluded spots, magnitude of normalization factors, and comparison between duplicate arrays provided quality control measures for all aspects of the assay. Results were accepted as valid only if all QC parameters for each sample and batch were within predefined limits.

Decision values for each array were compared with predefined cutoffs for positive (<0.054), negative (>0.554), and borderline (>0.054 and <0.554) classifications. Samples were finally classified based on their duplicate array results as follows: positive/positive = positive; negative/negative = negative; borderline/any

result = borderline. The borderline category is designed to prevent analytical variation in the assay from producing a false-positive or false-negative result and is supported by Monte Carlo analysis using the measured SDs of each of the 9 analytes for each sample's array pair (data not shown).

After valid results were obtained for all samples in the study (1 sample was excluded because of repeated QC failures), the sample results were unblinded and compared with the clinical history of each subject (healthy, PanFAM, or PDAC). Receiver operating characteristic (ROC) area under the curve (AUC) values and sensitivity/specificity were calculated based on these comparisons.

RESULTS

Characteristics of the sample cohorts

The median age of PDAC patients was 70 years, which was 11 years older than the PanFAM surveillance population, as expected. Both cohorts included individuals older than those in the healthy cohort, which had a median age of 49 years (Table 4). Women were overrepresented in the PanFAM cohort, whereas the PDAC cohort had more male than female individuals, as expected. Twenty-eight percentage of the PanFAM cohort had a history of cancers (Table 3) and were either cured or were in remission at the time of study entry. This high rate of previous neoplasms is not unexpected in a cohort with documented germline mutations predisposing to PDAC and other tumor types. Collectively, the 203 PanFAM subjects were receiving 619 prescription medications, some of which were related to their previous cancers (e.g., aromatase inhibitors). All individuals in the PanFAM cohort were under active imaging surveillance, and 25% exhibited clinically suspected intraductal papillary mucinous neoplasms (IPMNs) and 27% other pancreatic imaging abnormalities. IPMNs ranged from 1 to 10 in number (median 2)

Figure 1. Distribution of decision values in the 3 cohorts.

Figure 2. ROC curve comparison between PDAC (early-stage and all-stage PDAC) and the healthy and PanFAM cohorts. PDAC, pancreatic ductal adenocarcinoma; ROC, receiver operating characteristic.

and from 0.2 to 2.2 cm in size (median 0.6). None of the IPMNs were categorized as main-duct IPMNs, and no worrisome features were described.

IMMray PanCan-d results using a locked signature and predefined classification cutoffs

The distribution of decision values for the 3 sample cohorts is shown graphically in Figure 1. The results for the healthy and PanFAM cohorts are tightly clustered and seem similar to one another, although they were statistically different by t test ($P < 0.001$). The mean decision values for the Healthy and PanFAM cohorts were 1.65 and 1.40, respectively, with corresponding SDs of 0.68 and 0.67. Both these cohorts were quite different from the PDAC cohort that showed a much wider decision value distribution (-4.75 to 2.5) with a strong negative bias (the mean decision value was -1.26 with an SD of 1.58).

Excluding borderline results (see Data Analysis section), these decision values correspond to the following ROC AUC curves (Figure 2). Based on this analysis, IMMray PanCan-d sensitivity for early-stage (stages I and II) PDAC was 85% and 87% for all-stage PDAC with specificity of 98% against the PanFAM cohort and 99% against the healthy cohort. CA19-9 alone using the clinical reference range cutoff showed 75.8% sensitivity and 97.6% specificity in these cohorts.

Overall, 10% of samples were classified as borderline with a higher percentage of borderline results among the PDAC cohort than in the control cohorts (Table 5). The distribution of IMMray PanCan-d results by PDAC stage, sex, and smoking status are also shown. A comparison of the test classifications with sex or smoking status did not reach statistical significance by the χ^2 test, $P = 0.48$ and $P = 0.61$, respectively.

The median ages for negative and borderline classifications in the PanFAM cohort were 59 and 60 years. The median ages for negative, borderline, and positive classifications in the PDAC cohort were 68, 71, and 71 years, respectively.

The distribution of results by imaging status for the PanFAM cohort is summarized in Table 6. A comparison of the test classifications with imaging findings shows an excess of imaging abnormalities in subjects classified as borderline (18%) compared with those classified as negative (7%), but this difference did not reach statistical significance by the χ^2 test, $P = 0.17$. The decision values obtained in this study show a distribution of results that is very similar to that obtained in the CTMS study that was used to develop the final locked signature for IMMray PanCan-d in 2019 (Figure 3 and Table 7).

Impact of CA19-9 on IMMray PanCan-d results

Accumulating data suggest that individuals with very low baseline CA19-9 values are often deficient in *FUT3*, which is responsible

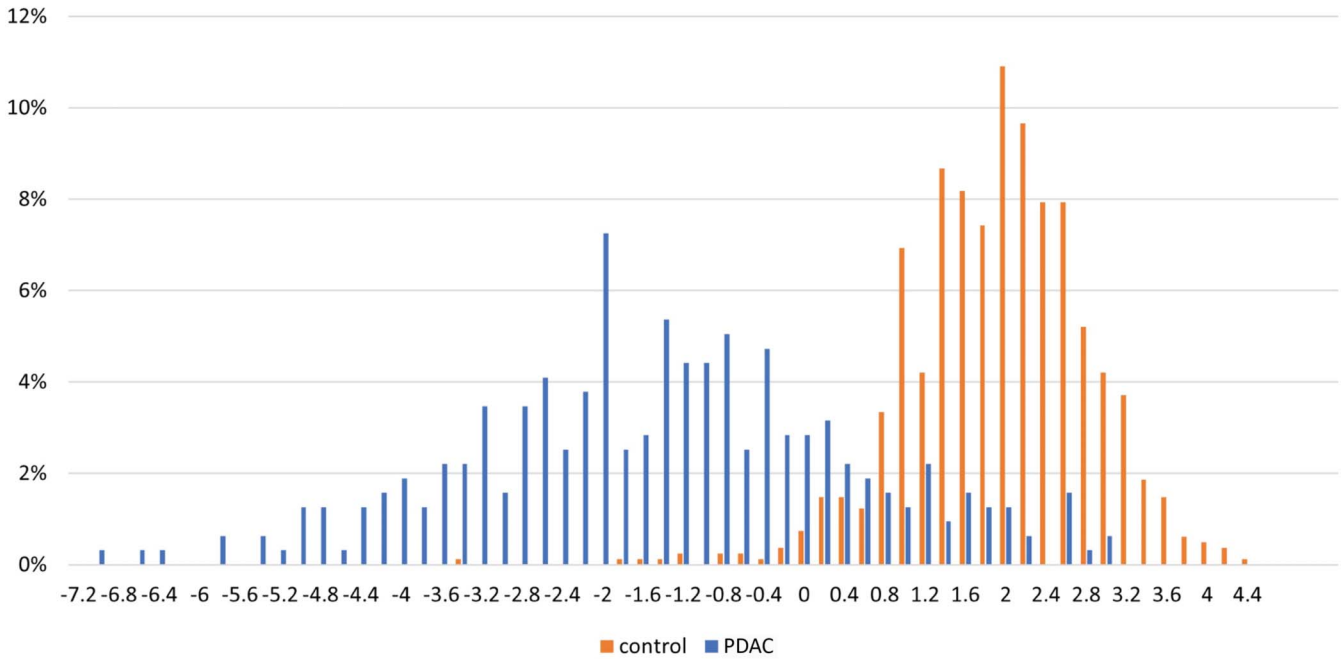
Decision Values from CTMS

Figure 3. Decision values from Commercial Test Model Study.

point for developing a companion assay to better address this population.

This study has limitations. This study addresses the diagnostic accuracy of PanCan-d but cannot assess its clinical utility. We anticipate that the analysis of serial sample collections from our PanFAM prospective trial will provide this information. The median age of the 3 cohorts and their sex distributions vary substantially. We believed that the PanFAM cohort provides the most meaningful comparison for the PDAC cohort because it represents the target population for the clinical application of IMMray PanCan-d. The demographics of the PanFAM cohort are determined by the composition of high-risk PDAC surveillance programs participating the PanFAM clinical trial. The multicentric design of the study mitigates the risk that cohort bias at 1 or a few collection sites could influence results. Most of the

PDAC samples were derived from Europeans, whereas the PanFAM samples were derived from Americans. Although the test is
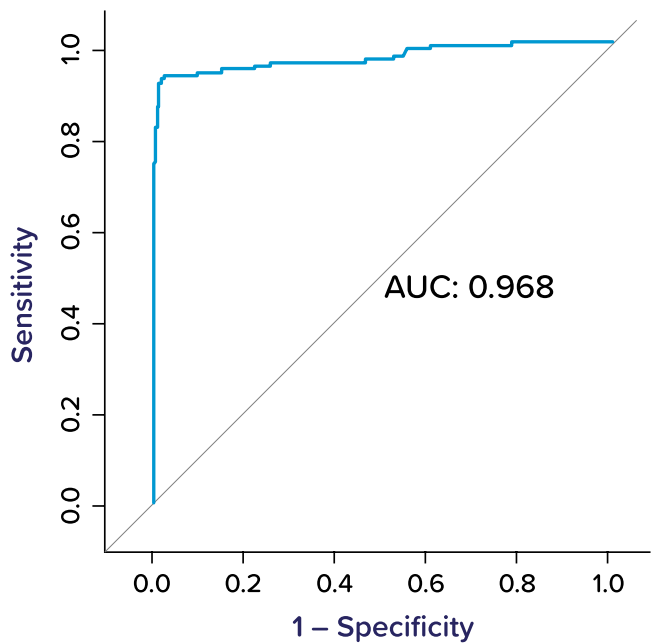
PDAC vs. Controls with CA19-9 ≤2.5 U/mL excluded

Figure 4. ROC curve for IMMray PanCan-d test performance in PDAC vs all controls, excluding samples with CA19-9 values of 2.5 U/mL or less. PDAC, pancreatic ductal adenocarcinoma; ROC, receiver operating characteristic.

Table 7. IMMray PanCan-d Classification of PDAC samples by stage, excluding samples with CA19-9 ≤2.5

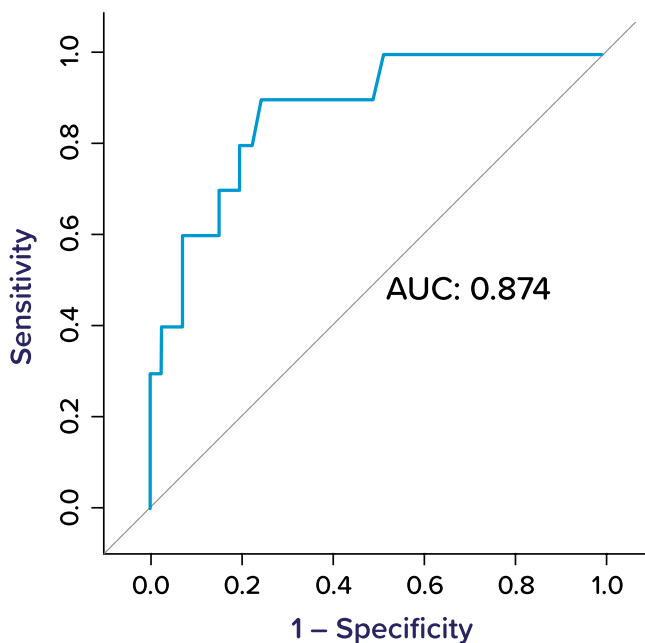| Stage	Negatisve	Borderline	Positive
I	0	0	1
IA	0	2	3
IB	3	3	13
IIA	0	1	6
IIB	2	4	18
III	3	6	27
IV	2	4	50
Unknown	1	1	7

PDAC, pancreatic ductal adenocarcinoma.

Table 8. Rates of CA19-9 values of 2.5 U/mL or less in study subjects

National origin	Self-reported ethnicity	% CA19-9 $\leq$2.5
The United States	White	8
The United States	African American	26
The United States	Hispanic	24
Spain	White	13
Sweden	—	4
Finland	—	14

highly accurate and provides enhanced sensitivity and specificity over CA19-9, it is not 100% specific. Overall, 10% of samples produced borderline results, which are less clinically certain than a positive or negative result. We feel that a positive test result should trigger accelerated diagnostic activities to expedite appropriate patient care and/or follow-up in concordance with NCCN guidelines.

In summary, the IMMray PanCan-d assay has been shown to detect samples derived from patients with both early-stage and all-stage PDAC with high sensitivity and specificity. This performance was demonstrated in both a healthy cohort and a familial genetic high-risk cohort that may be an appropriate group for blood-based PDAC surveillance. Results were obtained using a previously locked signature and predefined cutoffs using clinical standard operating procedures, fully validated instruments, and custom software applications. A complete analysis of the prospective PanFAM clinical trial, which has accrued more than 1,000 participants, should provide additional relevant data

regarding IMMray PanCan-d test performance and is expected within the next year.

Samples with CA19-9 <2.5 U/mL

AUC: 0.874

Figure 5. ROC curve for samples with CA19-9 <2.5 U/mL. ROC, receiver operating characteristic.

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